

JC973 U.S.P.T.O.
09/843278
04/24/01

PATENT

Attorney Docket No. KIRON 100 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
Kenneth Kiron and)
Kevin S. Bander)
Serial No.:)
Filed: HEREWITH)
Examiner:)
Art Unit:)
For: OPEN END MUTUAL FUND)
SECURITIZATION PROCESS)

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Date of Deposit August 7, 1998

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Name Kristine Callahan

(typed or printed)

Signature Kristine Callahan

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

IN SPECIFICATION:

On page 1, line 10 of the substitute specification insert --

CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation of application serial number 08/542,431, filed October 12, 1995.--

IN THE CLAIMS:

Cancel Claim 2.

Please add the following new Claims 3-18.